

# Quantising proper actions on $\text{Spin}^c$ -manifolds

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Differential geometry seminar Adelaide, 31 July 2015

## Joint work with Mathai Varghese

- ▶ (symplectic case) “Geometric quantization and families of inner products”, Adv. Math. (to appear), ArXiv:1309.6760
- ▶ ( $\text{Spin}^c$ -case) “Quantising proper actions on  $\text{Spin}^c$ -manifolds”, ArXiv:1408.0085

Background: the compact, symplectic case

$\text{Spin}^c$ -quantisation

Noncompact groups and manifolds

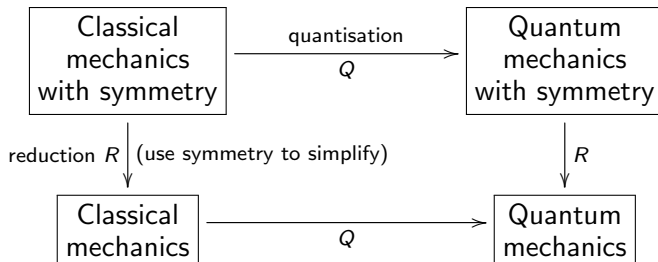
An analytic approach in the compact case

An analytic approach in the noncompact case

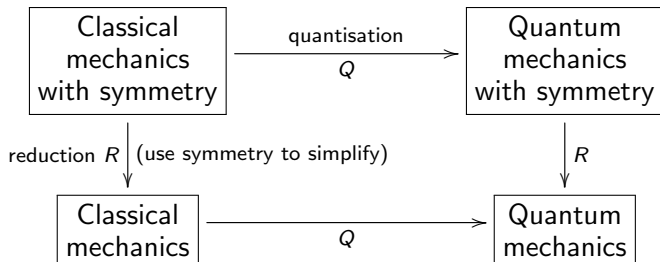
Twisted  $\text{Spin}^c$ -Dirac operators

# 1. Background

# Quantisation and reduction



# Quantisation and reduction



**Quantisation commutes with reduction:**

$$Q \circ R = R \circ Q, \quad \text{or} \quad '[Q, R] = 0'.$$

# Setup

Let

- ▶  $(M, \omega)$  be a symplectic manifold;
- ▶  $G$  be a Lie group, acting on  $M$ , preserving  $\omega$ ;
- ▶  $J$  be a  $G$ -invariant almost complex structure on  $M$ , such that  $\omega(-, J -)$  is a Riemannian metric;
- ▶  $L \rightarrow M$  be a Hermitian  $G$ -line bundle with a Hermitian connection  $\nabla^L$  such that

$$(\nabla^L)^2 = 2\pi i\omega.$$

# The Spin<sup>c</sup>-Dirac operator

In this setting, one has the Spin<sup>c</sup>-**Dirac operator**

$$D := \sum_{j=1}^{\dim(M)} c(e_j) \nabla_{e_j} \quad \text{on } \Omega^{0,*}(M; L)$$

where

- ▶  $\{e_1, \dots, e_{\dim(M)}\}$  is a local orthonormal frame for  $TM$ ;
- ▶  $\nabla$  is a connection on  $\wedge^{0,*} T^*M \otimes L$  induced by the Levi-Civita connection on  $TM$  and the connection  $\nabla^L$  on  $L$ ;
- ▶  $c(v) = \sqrt{2}(-i_{v^{0,1}} + \overline{(v^{1,0})}^* \wedge -)$ , for  $v \in T_m M$ , is the Clifford action.



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The operator  $D$  is elliptic, so  $\ker D$  is finite-dimensional if  $M$  is **compact**.

# Geometric quantisation

Suppose  $M$  and  $G$  are **compact**.

## Definition (Bott)

The **geometric quantisation** of the action by  $G$  on  $(M, \omega)$  is the equivariant index of  $D$ :

$$Q_G(M, \omega) = G\text{-index}(D) = [\ker D^+] - [\ker D^-] \in R(G).$$

Here  $D^\pm$  are the restrictions of  $D$  to the even and odd parts of  $\bigwedge^{0,*} T^*M \otimes L$ , and

$$R(G) := \{[V] - [W]; V, W \text{ finite-dim. representation of } G\}$$

is the representation ring of  $G$ .

## Quantum reduction

On the quantum side, reduction means taking the  $G$ -invariant part of a representation:

$$Q_G(M, \omega)^G = \dim(\ker D^+)^G - \dim(\ker D^-)^G \in \mathbb{Z}.$$

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(Here we identify equivalence classes of finite-dimensional vector spaces with their dimensions.)

One can also take multiplicities of other irreducible representations than the trivial one.

## Classical reduction

Suppose the action by  $G$  on  $(M, \omega)$  is **Hamiltonian**, i.e. there is a **moment(um) map**

$$\mu : M \rightarrow \mathfrak{g}^*,$$

which is equivariant w.r.t. the coadjoint action by  $G$  on  $\mathfrak{g}^*$ , such that for all  $X \in \mathfrak{g}$ ,

$$\boxed{2\pi i \langle \mu, X \rangle = \nabla_{X^M}^L - \mathcal{L}_X^L} \in \text{End}(L) = C^\infty(M, \mathbb{C}),$$

with  $X^M$  the vector field induced by  $X$ , and  $\mathcal{L}^L$  the Lie derivative of sections of  $L$ .

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### Definition

Let  $\xi \in \mathfrak{g}^*$ . The **symplectic reduction** of the action is the space

$$\boxed{M_\xi := \mu^{-1}(\xi) / G_\xi}$$

### Theorem (Marsden–Weinstein, 1974)

*If  $\xi$  is a regular value of  $\mu$ , and  $G_\xi$  acts freely (properly) on  $\mu^{-1}(\xi)$ , then  $M_\xi$  is a symplectic manifold (orbifold).*

## Quantisation commutes with reduction

For compact  $M$  and  $G$ , and  $M_0$  smooth, the diagram on the first slide becomes:

$$\begin{array}{ccc} G \circlearrowleft (M, \omega) & \xrightarrow{Q} & [\ker D^+] - [\ker D^-] \\ \downarrow R & & \downarrow R \\ & & \dim(\ker D)^G - \dim(\ker D^-)^G \\ & & \parallel \\ & & ? \\ (M_0, \omega_0) & \xrightarrow{Q} & \dim(\ker D_{M_0}^+) - \dim(\ker(D_{M_0})^-) \end{array}$$

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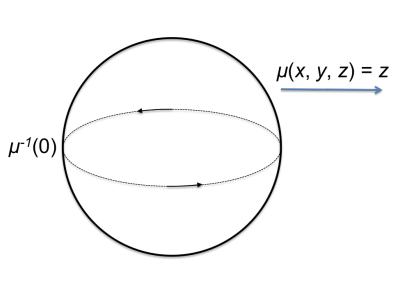
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Important step in proofs: **localisation** to  $\mu^{-1}(0)$ .

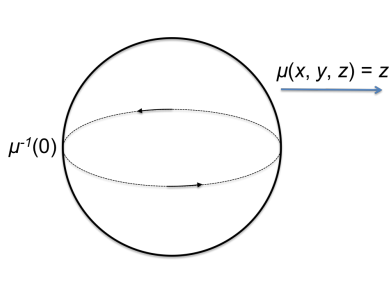
## Compact example: spin

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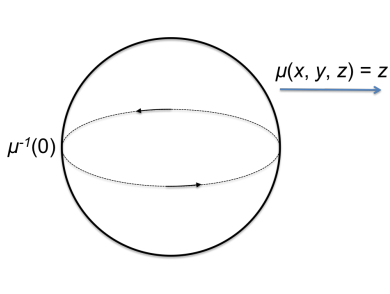


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**Physics:** direction of angular momentum in rotationally invariant potential

**Maths:** restricting irreducible representations of  $\text{SO}(3)$  to a maximal torus

## 2. Spin<sup>c</sup>-quantisation

# From symplectic to $\text{Spin}^c$

All symplectic manifolds are  $\text{Spin}^c$ , and only the  $\text{Spin}^c$ -structure is necessary to define a Dirac operator and quantisation.

So natural **question:** can one state and prove  $[Q, R] = 0$  for  $\text{Spin}^c$ -manifolds in general? (Asked by Cannas da Silva, Karshon and Tolman in 2000, and answered for circle actions.)

This was generalised to actions by arbitrary compact, connected Lie groups by Paradan and Vergne in 2014.

## Spin<sup>c</sup>-Dirac operators

Let  $M$  be a Spin<sup>c</sup>-manifold. Let  $L \rightarrow M$  be the associated determinant line bundle, and  $\mathcal{S} \rightarrow M$  the spinor bundle. (If  $M$  is almost complex, then  $\mathcal{S} = \bigwedge^{0,*} T^*M$ .)

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The Levi-Civita connection on  $TM$  and a connection on  $L$  induce a connection on  $\mathcal{S}$  via local decompositions

$$\mathcal{S}|_U \cong \mathcal{S}_0^U \otimes L|_U^{1/2},$$

where  $\mathcal{S}_0^U \rightarrow U$  is the spinor bundle associated to a local Spin-structure.

This induces a Spin<sup>c</sup>-Dirac operator  $D$  on  $\Gamma^\infty(\mathcal{S})$ .



## $\text{Spin}^c$ -reduction

Let  $G$  be a Lie group acting properly on  $M$ , and suppose the action lifts to the  $\text{Spin}^c$ -structure.

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As in the symplectic case, one can define a Spin<sup>c</sup>-**momentum map**  $\mu : M \rightarrow \mathfrak{g}^*$  by

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### Definition

The **reduced space** at  $\xi \in \mathfrak{g}^*$  is

$$M_\xi := \mu^{-1}(\xi) / G_\xi.$$

If  $G$  is a torus and  $\xi$  is a regular value of  $\mu$ , then  $M_\xi$  is a Spin<sup>c</sup>-orbifold. More generally, there is a (nontrivial) way to define  $Q(M_\xi) \in \mathbb{Z}$ .

## Compact groups and manifolds

Suppose that  $M$  and  $G$  are compact and connected, and that  $M$  is even-dimensional. Then

$$Q_G(M) := G\text{-index}(D) = \sum_{\pi \in \hat{G}} m_\pi \pi,$$

for certain  $m_\pi \in \mathbb{Z}$ . Paradan and Vergne computed  $m_\pi$  in terms of quantisations of reduced spaces.

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## Theorem (Paradan–Vergne, 2014)

*Let  $\lambda$  be the highest weight of  $\pi$ , and  $\rho$  half the sum of the positive roots.*

- ▶ *If the minimal stabiliser of the action is Abelian, then*

$$m_\pi = Q(M_{\lambda+\rho}).$$

- ▶ *In general,  $m_\pi$  is expressed as a finite **sum** of quantisations of reduced spaces.*

### 3. Noncompact groups and manifolds

# The noncompact setting

Natural question: can this be generalised to **noncompact**  $G$  and  $M$ ?

- ▶ Would give insight in representation theory of noncompact groups.
- ▶ Many phase spaces in classical mechanics (symplectic manifolds) are noncompact, e.g. cotangent bundles.
- ▶ In general, equivariant index theory of  $\text{Spin}^c$ -Dirac operators is a relevant subject.

## Existing results

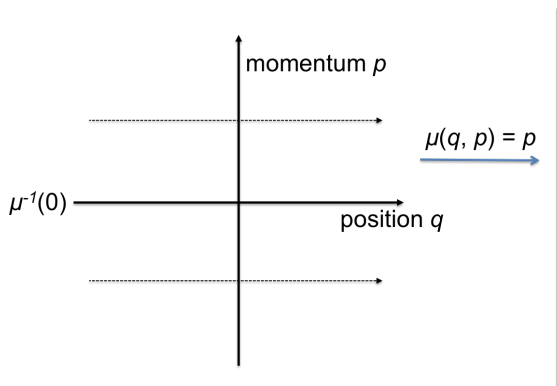
There are  $[Q, R] = 0$  results when either  $G$  or  $M/G$  may be noncompact.

- ▶ For  $G$  **compact** and  $\mu$  proper, there is a result in the symplectic case by Ma–Zhang, with another proof given by Paradan. This was generalised to  $\text{Spin}^c$ -manifolds by H.–Song.
- ▶ For  $M/G$  **compact**, Landsman formulated a  $[Q, R] = 0$  conjecture, in the symplectic case. Results in this context were obtained by Landsman, H., and Mathai–Zhang.



## Noncompact example: free particle on a line

$G = \mathbb{R}$  acting on  $M = \mathbb{R}^2$  by addition on the first coordinate



Now  $G$ ,  $M$  and  $M/G$  are **noncompact**, so outside the scope of the Ma–Zhang/Paradan and Landsman approaches.

# Goals and method

**Goals:** generalise  $[Q, R] = 0$  to cases where

1.  $M$ ,  $G$  and  $M/G$  may be noncompact;
2.  $M$  is only  $\text{Spin}^c$ ;
3. the  $\text{Spin}^c$ -Dirac operator is twisted by an arbitrary vector bundle over  $M$ .

**Method:** generalise the analytic approach developed by Tian–Zhang.

## 4. An analytic approach in the compact case

# Localising and decomposing

Consider the symplectic setting, and suppose  $M$  and  $G$  are compact.

## Idea:

1. consider a deformed version  $D_t$  of the Dirac operator  $D$ , with a real deformation parameter  $t$ ;
2. localise the  $G$ -invariant part of the kernel of  $D_t$  to a neighbourhood  $U$  of  $\mu^{-1}(0)$  in a suitable sense, for  $t$  large enough;
3. on  $U$ , decompose  $D_t$  into a part on  $\mu^{-1}(0)$  and a part normal to  $\mu^{-1}(0)$ .

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In this talk we focus on the **localisation** of the kernel of  $D_t$ , since noncompactness plays the biggest role in that step.

## Deforming the Dirac operator

Tian and Zhang used an  $\text{Ad}^*(G)$ -invariant inner product on  $\mathfrak{g}^*$ , which exists for compact groups  $G$ . Then one has

$$\mu^* : M \rightarrow \mathfrak{g}$$

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Consider the vector field  $v$  given by

$$v_m := 2(\mu^*(m))_m^M,$$

and the **deformed  $\text{Spin}^c$ -Dirac operator**

$$D_t := D + t \frac{\sqrt{-1}}{2} c(v),$$

for  $t \in \mathbb{R}$ .

# A Bochner-type formula

Theorem (Tian–Zhang)

On  $\Omega^{0,*}(M; L)^G$ , one has

$$D_t^2 = D^2 + tA + 4\pi t\|\mu\|^2 + \frac{t^2}{4}\|v\|^2,$$

where  $A$  is a vector bundle endomorphism.



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Together with an explicit expression for  $A$ , and harmonic oscillator-type estimates for  $A$ , this allowed Tian and Zhang to localise  $(\ker D_t)^G$  to  $\mu^{-1}(0)$  for large  $t$ , and prove that  $[Q, R] = 0$ .

## 5. An analytic approach in the noncompact case

## The noncompact case

**Idea:** generalise Tian–Zhang’s localisation arguments both to define quantisation and to prove  $[Q, R] = 0$ .

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### Issues:

- ▶ If  $G$  is noncompact, there is no  $\text{Ad}^*(G)$ -invariant inner product on  $\mathfrak{g}^*$  in general, so the deformed Dirac operator may not be  $G$ -equivariant.
- ▶ If  $M$  is noncompact, the operator  $A$  in

$$D_t^2 = D^2 + tA + 4\pi t\|\mu\|^2 + \frac{t^2}{4}\|v\|^2,$$

may be unbounded. (And  $\|\mu\|^2$  and  $v$  may go to zero at infinity.)

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**Solution:** use **families of inner products** on  $\mathfrak{g}^*$ , parametrised by  $M$ .

## Families of inner products

Let  $\{(-, -)_m\}_{m \in M}$  be a smooth family of inner products on  $\mathfrak{g}^*$ , with the invariance property that for all  $m \in M$ ,  $g \in G$  and  $\xi, \xi' \in \mathfrak{g}^*$ ,

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Then we define

- ▶ the map  $\mu^* : M \rightarrow \mathfrak{g}$  by

$$\langle \xi, \mu^*(m) \rangle = (\xi, \mu(m))_m$$

for all  $\xi \in \mathfrak{g}^*$  and  $m \in M$ ;

- ▶ the vector field  $v$  as before  $v_m := 2(\mu^*(m))_m^M$ ;
- ▶ the deformed Dirac operator (which is **equivariant**)

$$D_v = D + \frac{\sqrt{-1}}{2}c(v).$$

(Now the parameter  $t$  can be absorbed into the family of inner products.)

# Main assumption

We defined:

$$v_m := 2(\mu^*(m))_m^M.$$

The main assumption is that  $\text{Zeros}(v)/G$  is **compact**. Since  $\mu^{-1}(0) \subset \text{Zeros}(v)$ , this implies that  $M_0$  is compact.



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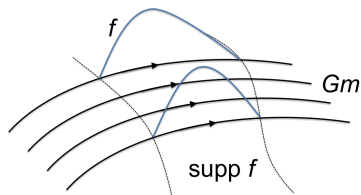
Other assumptions:  $G$  is **unimodular**, and acts **properly** on  $M$ .

## Transversally $L^2$ -sections

We will use **transversally  $L^2$ -sections** to define an index of  $D_V$ .

- ▶ A **cutoff function** is a function  $f \in C^\infty(M)$  such that for all  $m \in M$ , the intersection  $G \cdot m \cap \text{supp}(f)$  is compact, and for a Haar measure  $dg$  on  $G$ ,

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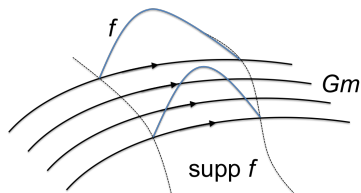


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- ▶ For any vector bundle  $E \rightarrow M$  equipped with a metric, the space of  **$G$ -invariant, transversally  $L^2$ -sections** of  $E$  is

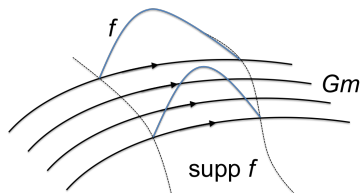
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- ▶ For any vector bundle  $E \rightarrow M$  equipped with a metric, the space of  **$G$ -invariant, transversally  $L^2$ -sections** of  $E$  is

$$L^2_T(E)^G := \{s \in \Gamma(E)^G; fs \in L^2(E) \text{ for a cutoff function } f\} / \sim_{\text{a.e.}}$$

- ▶ For any (differential) operator  $D$  on  $\Gamma^\infty(E)$ , we have the  **$G$ -invariant, transversally  $L^2$ -kernel** of  $D$ :

$$(\ker_{L^2_T} D)^G := \{s \in \Gamma^\infty(E) \cap L^2_T(E)^G; Ds = 0\}.$$

## Special cases

- ▶ If  $G$  is **compact**,  $f \equiv 1$  is a cutoff function, so

$$L_T^2(E)^G = L^2(E)^G.$$

- ▶ If  $M/G$  is **compact**, cutoff functions have compact supports, so

$$\Gamma^\infty(E) \cap L_T^2(E) = \Gamma^\infty(E).$$

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- ▶ If  $G$  is **compact**,  $f \equiv 1$  is a cutoff function, so

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- ▶ If  $M/G$  is **compact**, cutoff functions have compact supports, so

$$\Gamma^\infty(E) \cap L_T^2(E) = \Gamma^\infty(E).$$

In general,  $L^2(E)^G$  is independent of the cutoff function used, by unimodularity of  $G$ .

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$$\dim(\ker_{L^2_T} D_v)^G < \infty.$$

## Definition

The  **$G$ -invariant, transversally  $L^2$ -index** of  $D_v$  is

$$\text{index}_{L^2_T}^G D_v := \dim(\ker_{L^2_T} D_v^+)^G - \dim(\ker_{L^2_T} D_v^-)^G.$$

# Quantisation commutes with reduction

Theorem (Mathai–H., 2014)

*Suppose 0 is a regular value of  $\mu$ , and  $G$  acts freely on  $\mu^{-1}(0)$ .  
Then there is a class of  $\text{Spin}^c$ -structures on  $M$ , such that*

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The class of  $\text{Spin}^c$ -structures in the theorem corresponds to using high enough tensor powers of the determinant line bundle.

In the symplectic analogue of this result, one does not need high tensor powers of the line bundle if

- ▶  $G$  is compact, or
- ▶ the action is locally free.

## A Bochner formula for families of inner products

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*On  $\Gamma^\infty(\mathcal{S})^G$ , one has*

$$D_V^2 = D^2 + A + 2\pi\|\mu\|^2 + \frac{1}{4}\|v\|^2,$$

*with  $A$  a vector bundle endomorphism.*

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$$D_V^2 = D^2 + A + 2\pi\|\mu\|^2 + \frac{1}{4}\|v\|^2,$$

with  $A$  a vector bundle endomorphism.

The expression for  $A$  is different from the compact case, because of

- ▶ extra terms due to the use of a family of inner products on  $\mathfrak{g}^*$ ;
- ▶ the fact that  $M$  is only assumed to be  $\text{Spin}^c$ .

In addition, one has no control over the behaviour of  $A$ ,  $\|\mu\|^2$  and  $\|v\|$  'at infinity'.

## Choosing the family of inner products on $\mathfrak{g}^*$

Solution to issues arising in the noncompact/ $\text{Spin}^c$ -case: a suitable choice of the family of inner products on  $\mathfrak{g}^*$ .



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- ▶  $V$  be a  $G$ -invariant, relatively cocompact neighbourhood of  $\text{Zeros}(v)$
- ▶  $\eta$  be any  $G$ -invariant smooth function on  $M$

### Proposition

*The family of inner products on  $\mathfrak{g}^*$  can be rescaled by a positive function in such a way that for all  $m \in M \setminus V$ ,*

$$\|\mu(m)\|^2 \geq 1;$$

$$\|v_m\| \geq \eta(m),$$

*and there is a  $C > 0$ , such that for all  $m \in M$ ,*

$$A_m \geq -C(\|v_m\|^2 + 1).$$

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$$\begin{aligned}\|\mu(m)\|^2 &\geq 1; \\ \|\nu_m\| &\geq \eta(m),\end{aligned}$$

*and there is a  $C > 0$ , such that for all  $m \in M$ ,*

$$A_m \geq -C(\|\nu_m\|^2 + 1).$$

This turns out to be enough to localise  $(\ker_{L^2_T} D_t)^G$ , and get  $[Q, R] = 0$ .

## 5. Twisted $\text{Spin}^c$ -Dirac operators

## Twisting Dirac operators by vector bundles

As before, let  $M$  be a Riemannian manifold, on which a Lie group  $G$  acts properly and isometrically. Suppose  $M$  has a  $G$ -equivariant  $\text{Spin}^c$ -structure, with spinor bundle  $\mathcal{S} \rightarrow M$ . Consider a  $G$ -invariant, Hermitian connection  $\nabla^{\mathcal{S}}$  on  $\mathcal{S}$ .

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Now let  $E \rightarrow M$  be a Hermitian,  $G$ -equivariant vector bundle, with a Hermitian,  $G$ -invariant connection  $\nabla^E$ . Then we have the connection

$$\nabla^{S \otimes E} := \nabla^{\mathcal{S}} \otimes 1_E + 1_{\mathcal{S}} \otimes \nabla^E$$

on  $\mathcal{S} \otimes E$ .

### Definition

The  $\text{Spin}^c$ -**Dirac operator**  $D^{S \otimes E}$  **twisted by**  $E$  (via  $\nabla^E$ ) is the operator on  $\Gamma^\infty(\mathcal{S} \otimes E)$  locally given by

$$D^E = \sum_j (c(e_j) \otimes 1_E) \nabla_{e_j}^{S \otimes E}.$$

# Localising twisted Dirac operators

As before, we set

$$D_v^E := D^E + \frac{\sqrt{-1}}{2} c(v),$$

and suppose  $\text{Zeros}(v)/G$  is compact.

## Theorem (H.–Mathai, 2015)

*The metric on  $M \times \mathfrak{g}^*$  can be rescaled such that, after replacing the determinant line bundle  $L$  by a high enough tensor power  $L^P$ ,*

$$\dim(\ker_{L_T^2} D_v)^G < \infty,$$

and

$$\text{index}_{L_T^2}^G D_v = \text{index} D_{M_0}^{E_0} = \int_{M_0} \text{ch}(E_0) e^{\frac{p}{2} c_1(L_0)} \hat{A}(M_0).$$

Here  $E_0 := (E|_{\mu^{-1}(0)})/G \rightarrow M_0$ , and similarly for  $L_0$ .

## Application 1: excision

Braverman defined an invariant, transversally  $L^2$ -index for general Dirac-type operators, deformed by a vector field  $v$ . As a consequence of a cobordism invariance property, this index is determined by data near  $\text{Zeros}(v)$ .

### Corollary

*For a twisted  $\text{Spin}^c$ -Dirac operator  $D_v^E$ , its index  $\text{index}_{L^2_T}^G D_v$  is determined by data in a neighbourhood of  $\mu^{-1}(0) \subset \text{Zeros}(v)$ .*

## Application 2: the signature operator

If  $M$  is Spin, then  $D^S$  equals the **signature operator**  $B$  on

$$\mathcal{S} \otimes \mathcal{S} \cong \bigwedge T^*M.$$

If  $M$  is only Spin<sup>c</sup>, then  $D^S$  is the twisted signature operator  $B^L$  on

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$$\wedge T^*M \otimes L.$$

Using this, one obtains

$$\text{index}_{L_T^2}^G B^{L^p} = \int_{M_0} \text{ch}(\mathcal{S}_0^N) e^{(p-\frac{1}{2})c_1(L_0)} L(M_0).$$

Here

- ▶  $\mathcal{S}^N \rightarrow \mu^{-1}(0)$  is the spinor bundle of the normal bundle  $N \rightarrow \mu^{-1}(0)$  to  $TM_0$ ;
- ▶  $L(M_0) = \text{ch}(\mathcal{S}_{M_0}) \hat{A}(M_0)$  is the  $L$ -class.

Thank you

## Optional activities

- ▶ Colloquium “Dynamics on Networks: The role of local dynamics and global networks on hypersynchronous neural activity” by John Terry, 15:10, basement room B21
- ▶ Friday drinks at the staff club, meet at 17:00 in front of the lifts on the ground floor
- ▶ Dinner at the British on Finniss Street on Saturday (e.g. barbecued kangaroo)